

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket Number 04-6163		Application Number 10/767,546		
				Applicants Thomas J. DALEY, et al.				
				Filing Date January 29, 2004		Group Art Unit 3684		
U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee		Class	Sub-Class	Filing Date
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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document		Sub-Class	Translation
		WO 2004/008296	1/22/2004	PCT	Zhou et al.			
		JP 2003-515824 (allegedly published as U.S. Patent 7386497)	5/7/2003	JP	Gooch			
		JP 2002-230304	8/16/2002	JP	Mizuide et al.			Abstract Only
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NON PATENT LITERATURE DOCUMENTS								
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published						
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		Japanese Office Action with English translation for Application No. 2006-551590, dated August 24, 2010 (6 pages).						
		Daisuke Ochiai, "Electronic Finance and Security Trading, "London Stock Exchange Transfers to New Trading System", Capital Market Quarterly, Autumn 1997, Vol. 1, No. 2, Nomura Research Institute, 1 November 1997, pp.102-108.						
		Japanese Office Action with English translation for Application No. 2006-551588, dated August 31, 2010 (8 pages).						
		Japanese Office Action with English translation for Application No. 2006-551589, dated August 31, 2010 (7 pages).						
		USPTO Notice of Allowance and Fees Due for Application No. 10/767588, September 7, 2010 (3 pages).						
Examiner Signature						Date Considered		